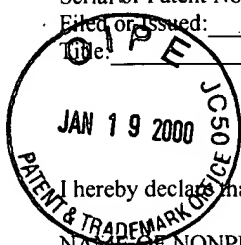


Applicant or Patentee: Randal J. Kaufman, Witoon Tirasophon, and Ajith A. Welihinda Attorney's  
Serial or Patent No.: 09/357,273 Do No.: UMV-1584  
Filed or Issued: July 20, 1999  
Title: IPEIP, NOVEL MAMMALIAN PROTEIN, AND GENE ENCODING SAME



**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION The Regents Of The University Of Michigan  
ADDRESS OF NONPROFIT ORGANIZATION Technology Management Office, Wolverine Tower, Room 2071  
3003 S. State Street, Ann Arbor, Michigan 48109-1280

**TYPE OF NONPROFIT ORGANIZATION**

- ☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION  
☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3))  
☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA  
(NAME OF STATE \_\_\_\_\_)  
(CITATION OF STATUTE \_\_\_\_\_)  
☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA  
☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA  
(NAME OF STATE \_\_\_\_\_)  
(CITATION OF STATUTE \_\_\_\_\_)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention described in

- ☐ the specification filed herewith with title as listed above.  
☒ the application identified above.  
☐ the patent identified above.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights in the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Marvin G. Parnes

TITLE OF PERSON OTHER THAN OWNER Associate Vice President for Research

ADDRESS OF PERSON SIGNING Wolverine Tower, Rm 2071, 3003 S. State St. Ann Arbor, MI 48109-1280

SIGNATURE Marvin G. Parnes DATE 10-26-99



Customer Number: 000959

Attorney's  
Docket  
Number UMV-1584

Declaration, Petition and Power of Attorney for Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

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the specification of which

(check one)

       is attached hereto.

X was filed on July 20, 1999 as Application Serial No. 09/357,273

and was amended on \_\_\_\_\_  
(if applicable)

I do not know and do not believe that the subject matter of this application was known or used by others in the United States or patented or described in a printed publication in any country before my invention thereof, or patented or described in a printed publication in any country or in public use or on sale in the United States more than one year prior to the date of this application, or first patented or caused to be patented or made the subject of an inventor's certificate by me or my legal representatives or assigns in a country foreign to the United States prior to the date of this application on an application filed more than twelve months (six months if this application is for a design) before the filing of this application; and I acknowledge my duty to disclose information of which I am aware which is material to the examination of this application, that no application for patent or inventor's certificate on the subject matter of this application has been filed by me or my representatives or assigns in any country foreign to the United States, except those identified below, and that I have reviewed and understand the contents of the specification, including the claims as amended by any amendment referred to herein.

I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

### CLAIM OF BENEFIT OF EARLIER FOREIGN APPLICATION(S)

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Check one:

☒ no such applications have been filed.

☐ such applications have been filed as follows

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(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION

Country	Application Number	Date of Filing (month,day,year)	Priority Claimed Under 35 USC 119
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
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60/093,526

(Application Serial No.)

July 21, 1998

(Filing Date)

(Application Serial No.)

(Filing Date)

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(Application Serial No.)	(Filing Date)	(Status) (patented,pending,aband.)
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POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

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Kevin J. Canning	Reg. No. 35,470		
David A. Lane, Jr.	Reg. No. 39,261		

Send Correspondence to DeAnn F. Smith at **Customer Number: 000959** whose address is:

Lahive & Cockfield, LLP, 28 State Street, Boston, MA 02109

Direct Telephone Calls to: (name and telephone number)

DeAnn F. Smith, (617) 227-7400

Wherefore I petition that letters patent be granted to me for the invention or discovery described and claimed in the attached specification and claims, and hereby subscribe my name to said specification and claims and to the foregoing declaration, power of attorney, and this petition.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Randal J. Kaufman	
Inventor's signature	Date
Residence 5065 Red Fox Run, Ann Arbor, Michigan 48105	
Citizenship	
Post Office Address (if different)	

Full name of second inventor, if any Witoon Tirasophon	
Inventor's signature ✓ <i>Witoon Tirasophon</i>	Date ✓ Oct 20, 99
Residence 1108 Malden Lane, Apt. 105, Ann Arbor, Michigan 48105	
Citizenship	
Post Office Address (if different)	

Full name of third inventor, if any Ajith A. Welihinda	
Inventor's signature	Date
Residence 2781 International Drive, #519B, Ypsilanti, Michigan 48197	
Citizenship	
Post Office Address (if different)	



Customer Number: 000959

Attorney's  
Docket  
Number UMV-1584

Declaration, Petition and Power of Attorney for Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

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the specification of which

(check one)

       is attached hereto.

  X   was filed on July 20, 1999 as Application Serial No. 09/357,273

and was amended on \_\_\_\_\_  
(if applicable)

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# CLAIM OF BENEFIT OF EARLIER FOREIGN APPLICATION(S)

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Check one:

☒ no such applications have been filed.

☐ such applications have been filed as follows

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60/093,526  
(Application Serial No.)

July 21, 1998  
(Filing Date)

(Application Serial No.)

(Filing Date)

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(Application Serial No.)	(Filing Date)	(Status) (patented,pending,aband.)
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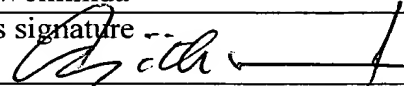
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Full name of sole or first inventor Randal J. Kaufman	
Inventor's signature	Date
Residence 5065 Red Fox Run, Ann Arbor, Michigan 48105	
Citizenship	
Post Office Address (if different)	

Full name of second inventor, if any Witoon Tirasophon	
Inventor's signature	Date
Residence 1108 Malden Lane, Apt. 105, Ann Arbor, Michigan 48105	
Citizenship	
Post Office Address (if different)	

Full name of third inventor, if any Ajith A. Welihinda	
Inventor's signature ✓ 	Date ✓ 12/7/99
Residence 2781 International Drive, #519B, Ypsilanti, Michigan 48197	
Citizenship	
Post Office Address (if different)	



Customer Number: 000959

Attorney's  
Docket  
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Full name of sole or first inventor Randal J. Kaufman	
Inventor's signature <i>Randal J. Kaufman</i>	Date 10/7/99
Residence 5065 Red Fox Run, Ann Arbor, Michigan 48105	
Citizenship	
Post Office Address (if different)	

Full name of second inventor, if any Witoon Tirasophon	
Inventor's signature	Date
Residence 1108 Malden Lane, Apt. 105, Ann Arbor, Michigan 48105	
Citizenship	
Post Office Address (if different)	

Full name of third inventor, if any Ajith A. Welihinda	
Inventor's signature	Date
Residence 2781 International Drive, #519B, Ypsilanti, Michigan 48197	
Citizenship	
Post Office Address (if different)	